

033004

05909 U.S. PTO

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new non-provisional applications under 37 CFR 1.53(b))

Attorney Docket No. 09792909-5860

First Named Inventor or Application Identifier

Kenichi Kawase

Express Mail Label No: EV328250050US

ADDRESS TO: Mail Stop: Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

19270 U.S. PTO
10/813370

033004

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ACCOMPANYING APPLICATION PARTS

1. ☒ Specification Total Pages 43
2. ☒ Drawing(s) (35USC 113) Total Pages 4
3. ☒ Declaration and Power of Attorney Total Pages 4
 - a. ☒ Unexecuted(original or copy)
 - b. ☐ Copy from prior application (37CFR 1.63(d))
(for continuation/divisional with Box 14 completed) [Note Box 4 Below]
 - i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting
inventor(s) named in the prior appln.
see 37 CFR 1.63(d)(2) and 1.33(b).
4. ☐ Incorporation By Reference (usable if Box 3b is checked)
The entire disclosure of the prior application, from which
a Copy of the oath or declaration is supplied under Box
3b, is considered as being part of the disclosure of the
accompanying application and is hereby incorporated by
reference therein.

5. ☐ Assignment Papers (cover sheet & documentation)
6. ☒ Letter under 37 CFR 1.41(c).
7. ☐ English Translation Document to follow (if applicable)
8. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
9. ☒ Preliminary Amendment
10. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
11. ☐ Small Entity ☐ Statement filed in prior Application,
Statement(s) Status still proper and desired
12. ☒ Certified copy(ies) of Japanese priority document(s)
No(s). P2003-101189 filed April 4, 2003.

14. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) ☐ of application No:

CLAIMS AS FILED

	(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) BASIC FEE \$770.00
TOTAL CLAIMS		25	5	\$18.00	90.00
INDEPENDENT CLAIMS		4	86.00	86.00	86.00
	ANY MULTIPLE DEPENDENT CLAIMS?			290.00	
	<input type="checkbox"/> YES <input checked="" type="checkbox"/> NO				
	TOTAL FEE				\$946.00

- ☒ The Commissioner is hereby authorized to charge any additional fees which may be required in connection with this application, or credit any overpayment to ACCOUNT NO. 19-3140. A duplicate copy of this sheet is enclosed.
- ☒ Please charge attorney's firm American Express Account No. in the amount of \$ 946.00 to cover the above fees. PTO Form 2038 is enclosed for that purpose.
- ☐ A check in the amount of \$ to cover the filing fee is enclosed.

15. CORRESPONDENCE ADDRESS: Sonnenschein, Nath & Rosenthal LLP
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Wacker Drive Station - Sears Tower
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SIGNATURE

David R. Metzger (Reg. No. 32979)

Date: March 30, 2004

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March 30, 2004

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RE: New U.S. Application for Letters Patent entitled
"ELECTRODE AND BATTERY USING IT"
Applicant(s): Kenichi Kawase, et al.
Attorney Docket No. 09792909-5860

Dear Sir:

Under the provisions of 37 C.F.R. §1.41(c), I am filing the attached application, including 25 claims (4 independent), 4 sheets of drawings (Figs. 1-6) and the filing fee of \$946.00 on behalf of

KENICHI KAWASE, TOMOO TAKADA and YUKIO MIYAKI

and request that the application be assigned a serial number and filing date pursuant to the provisions of 37 C.F.R. §1.53(b) and 37 C.F.R. §1.53(d).

Very truly yours,

SONNENSCHN NATH & ROSENTHAL LLP

By:


David R. Metzger

DRM:kat
Enclosures